

IAP6 Rec'd PCT/PTO

Attorney Docket No.: UM-13248

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shaomeng Wang 10/594,200

Group No.: Examiner:

Serial No.: 371(c) File Date:

03/22/2005

Entitled:

Small Molecule Antagonists of XIAP Family Proteins

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) MAILED 04/26/2007

### MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postege as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450. Alexandria, VA. 22313-1450.

Date: July 26, 2007

Jasmine M. Stansberry

Sir or Madam:

In response to the Notification Of Missing Requirements Under 35 U.S.C. 371 In the United States Designated/Elected Office (DO/EO/US) mailed 04/26/2007 (a copy of which is enclosed), applicant(s) submit(s) the following documents:

- Executed Declaration or Oath;
- An Assignment document for recording, whereby the subject application and the invention disclosed therein is assigned to: The Regents of the University of Michigan; and a Recordation Form Cover Sheet – Patents Only (Form PTO-1595);
- Request for Extension of Time for filing within the first month;

A check in the amount of \$165.00 is enclosed herewith to cover:

\$65.00 Surcharge for filing missing parts of an application

\$40.00 Assignment Recordation Fee

\$60.00 Fee for filing a one-month extension of time

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s), and/or credit any overpayment, to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

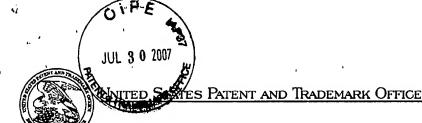
Date: July 26, 2007

08/03/2007 LLANDGRA 00000011 10594200

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Registration No. 55,210 MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105

608/218-6900



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

\*OC000000023544529\*

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. 10/594,200 Shaomeng Wang UM-13248 INTERNATIONAL APPLICATION NO. PCT/US05/09378 23535 I.A. FILING DATE PRIORITY DATE MEDLEN & CARROLL, LLP 03/22/2005 03/22/2004 RECEIVED 101 HOWARD STREET **SUITE 350** SAN FRANCISCO, CA 94105 **CONFIRMATION NO. 7065** APR 3 0 2007 **371 FORMALITIES LETTER MEDLEN & CARROLL** 

Date Mailed: 04/26/2007

Prep. 6/26/07eco

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/22/2006
- Copy of the International Search Report filed on 09/22/2006
- U.S. Basic National Fees filed on 09/22/2006
- Priority Documents filed on 09/22/2006
- Power of Attorney filed on 09/22/2006
- Specification filed on 09/22/2006
- Claims filed on 09/22/2006
- Drawings filed on 09/22/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

# • \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/594,200	PCT/US05/09378	UM-13248

FORM PCT/DO/EO/905 (371 Formalities Notice)